dread syndrome, postulated by the authors are the three essentials of the "brainwashing" process. The article is well worth reading.

12.		(b)(1)
		(b)(1)
		(D)(3)
	This article provides some sound information	າກ

ion of interrogation as such, though clear and

(b)(1)

(b)(3)

but the discussion of interrogation as such, though clear and well-ordered, contains a few questionable postulates. The article merits reading but is not recommended as a guide to the conduct of interrogation.

- 13. Gill, Merton, Inc., and Margaret Brenman, Hypnosis and Related States: Psychoanalytic Studies in Regression, International Universities Press Inc., New York, 1959. This book is a scholarly and comprehensive examination of hypnosis. The approach is basically Freudian but the authors are neither narrow nor doctrinaire. The book discusses the induction of hypnosis, the hypnotic state, theories of induction and of the hypnotic condition, the concept of regression as a basic element in hypnosis, relationships between hypnosis and drugs, sleep, fugue, etc., and the use of hypnosis in psychotherapy. Interrogators may find the comparison between hypnosis and "brainwashing" in chapter 9 more relevant than other parts. The book is recommended, however, not because it contains any discussion of the employment of hypnosis in interrogation (it does not) but because it provides the interrogator with sound information about what hypnosis can and cannot do.
- 14. Hinkle, Lawrence E. Jr. and Harold G. Wolff, "Communist Interrogation and Indoctrination of Enemies of the State", AMA Archives of Neurology and Psychiatry, August 1956, Vol. 76, No. 2. This article summarizes the physiological and psychological reactions of American prisoners to Communist detention and interrogation. It merits reading but not study, chiefly because of the vast differences between Communist interrogation of American POW's and KUBARK interrogation of known or suspected personnel of Communist services or parties.



- Journal of Criminal Law, Criminology, and Police Science, July-August 1956, Vol. 47. The author lists the following principles of confession: (1) the subject feels accused; (2) he is confronted by authority wielding power greater than his own; (3) he believes that evidence damaging to him is available to or possessed by the authority (4) the accused is cut off from friendly support; (5) self-hostility is generated; and (6) confession to authority promises relief. Although the article is essentially a speculation rather than a report of verified facts, it merits close reading.
- 16. Inbau, Fred E. and John E. Reid, Lie Detection and Criminal Investigation, Williams and Wilkins Co., 1953. The first part of this book consists of a discussion of the polygraph. It will be more useful to the KUBARK interrogator than the second, which deals with the elements of criminal interrogation.
- 17. KHOKHLOV, Nicolai, In the Name of Conscience, David McKay Co., New York, 1959. This entry is included chiefly because of the cited quotation. It does provide, however, some interesting insights into the attitudes of an interrogatee.
- 18. KUBARK, Communist Control Methods, Appendix 1:
  "The Use of Scientific Design and Guidance Drugs and Hypnosis in
  Communist Interrogation and Indoctrination Procedures." Secret, no
  date. The appendix reports a study of whether Communist interrogation methods included such aids as hypnosis and drugs. Although
  experimentation in these areas is, of course, conducted in Communist
  countries, the study found no evidence that such methods are used in
  Communist interrogations -- or that they would be necessary.
- 19. KUBARK (KUSODA), Communist Control Techniques,
  Secret, 2 April 1956. This study is an analysis of the methods used
  by Communist State police in the arrest, interrogation, and indoctrination of persons regarded as enemies of the state. This paper, like
  others which deal with Communist interrogation techniques, may be
  useful to any KUBARK interrogator charged with questioning a former
  member of an Orbit intelligence or security service but does not deal
  with interrogation conducted without police powers.

20. KUBARK, Hostile Control and Interrogation Techniques, Secret, undated. This paper consists of 28 pages and two annexes. It provides counsel to KUBARK personnel on how to resist interrogation conducted by a hostile service. Although it includes sensible advice on resistance, it does not present any new information about the theories or practices of interrogation.

22.	

- 23. Laycock, Keith, "Handwriting Analysis as an Assessment Aid," Studies in Intelligence, Summer 1959, Vol. 3, No. 3. A defense of graphology by an "educated amateur." Although the article is interesting, it does not present tested evidence that the analysis of a subject's handwriting would be a useful aid to an interrogator. Recommended, nevertheless, for interrogators unfamiliar with the subject.
- 24. Lefton, Robert Jay, "Chinese Communist 'Thought Reform.': Confession and Reeducation of Western Civilians,"
  Bulletin of the New York Academy of Medicine, September 1957,
  Vol. 33. A sound article about Chicom brainwashing techniques. The information was compiled from first-hand interviews with prisoners who had been subjected to the process. Recommended as background reading.

115

- 25. Levenson, Bernard and Lee Wiggins, A Guide for Intelligence Interviewing of Voluntary Foreign Sources, Official Use Only, Officer Education Research Laboratory, ARDC, Maxwell Air Force Base (Technical Memorandum OERL-TM-54-4.) A good, though generalized, treatise on interviewing techniques. As the title shows, the subject is different from that of the present study.
- 26. Lilly, John C., "Mental Effects of Reduction of Ordinary Levels of Physical Stimuli on Intact Healthy Persons." Psychological Research Report #5, American Psychiatric Association, 1956. After presenting a short summary of a few autobiographical accounts written about relative isolation at sea (in small boats) or polar regions, the author describes two experiments designed to mask or drastically reduce most sensory stimulation. The effect was to speed up the results of the more usual sort of isolation (for example, solitary confinement). Delusions and hallucinations, preceded by other symptoms, appeared after short periods. The author does not discuss the possible relevance of his findings to interrogation.
- Publishing Co., Cleveland, 1956. This book's primary value for the interrogator is that it will make him aware of a number of elements in the responses of an interrogatee which are not directly related to the questions asked or the interrogation setting but are instead the product of (or are at least influenced by) all questioning that the subject has undergone earlier, especially as a child. For many interrogatees the interrogator becomes, for better or worse, the parent or authority symbol. Whether the subject is submissive or belligerent may be determined in part by his childhood relationships with his parents. Because the same forces are at work in the interrogator, the interrogation may be chiefly a cover for a deeper layer of exchange or conflict between the two. For the interrogator a primary value of this book (and of much related psychological and psychoanalytic work) is that it may give him a deeper insight into himself.
- 28. Moloney, James Clark, "Psychic Self-Abandon and Extortion of Confessions," International Journal of Psychoanalysis, January/February 1955, Vol. 36. This short article relates the psychological release obtained through confession (i.e., the sense of well-being following surrender as a solution to an otherwise unsolvable

conflict) with religious experience generally and some ten Buddhistic practices particularly. The interrogator will find little here that is not more helpfully discussed in other sources, including Gill and Brenman's Hypnosis and Related States. Marginal.

- 29. Oatis, William N., "Why I Confessed," Life, 21 September 1953, Vol. 35. Of some marginal value because it combines the writer's profession of innocence ("I am not a spy and never was") with an account of how he was brought to "confess" to espionage within three days of his arrest. Although Oatis was periodically deprived of sleep (once for 42 hours) and forced to stand until weary, the Czechs obtained the "confession" without torture or starvation and without sophisticated techniques.
- 30. Rundquist, E.A., "The Assessment of Graphology,"

  Studies in Intelligence, Secret, Summer 1959, Vol. 3, No. 3. The author concludes that scientific testing of graphology is needed to permit an objective assessment of the claims made in its behalf. This article should be read in conjunction with No. 23, above.
- 31. Schachter, Stanley, The Psychology of Affiliation:
  Experimental Studies of the Sources of Gregariousness, Stanford
  University Press, Stanford, California, 1959. A report of 133 pages,
  chiefly concerned with experiments and statistical analyses performed
  at the University of Minnesota by Dr. Schachter and colleagues. The
  principal findings concern relationships among anxiety, strength of
  affiliative tendencies, and the ordinal position (i.e., rank in birth
  sequence among siblings). Some tentative conclusions of significance
  for interrogators are reached, the following among them:
  - a. "One of the consequences of isolation appears to be a psychological state which in its extreme form resembles a full-blown anxiety attack." (p. 12.)
  - b. Anxiety increases the desire to be with others who share the same fear.
  - c. Persons who are first-born or only children are typically more nervous or afraid than those born later. First-borns and onlies are also "considerably less willing or able to withstand pain than are later-born children." (p. 49.)



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In brief, this book presents hypotheses of interest to interrogators, but much further research is needed to test validity and applicability.

- 32. Sheehan, Robert, Police Interview and Interrogations and the Preparation and Signing of Statements. A 23-page pamphlet, unclassified and undated, that discusses some techniques and tricks that can be used in counterintelligence interrogation. The style is sprightly, but most of the material is only slightly related to KUBARK's interrogation problems. Recommended as background reading.
- 33. Singer, Margaret Thaler and Edgar H. Schein, "Projective Test Responses of Prisoners of War Following Repatriation." Psychiatry, 1958, Vol. 21. Tests conducted on American ex-POW's returned during the Big and Little Switches in Korea showed differences in characteristics between non-collaborators and corraborators. The latter showed more typical and humanly responsive reactions to psychological testing than the former, who tended to be more apathetic and emotionally barren or withdrawn. Active resisters, however, often showed a pattern of reaction or responsiveness like that of collaborators. Rorschach tests provided clues, with a good statistical incidence of reliability, for differentation between collaborators and non-collaborators. The tests and results described are worth noting in conjunction with the screening procedures recommended in this paper.
- 34. Sullivan, Harry Stack, The Psychiatric Interview, W.W. Norton and Co., New York, 1954. Any interrogator reading this book will be struck by parallels between the psychiatric interview and the interrogation. The book is also valuable because the author, a psychiatrist of considerable repute, obviously had a deep understanding of the nature of the inter-personal relationship and of resistance.
- 35. U.S. Army, Office of the Chief of Military History, Russian Methods of Interrogating Captured Personnel in World War II, Secret, Washington, 1951. A comprehensive treatise on Russian intelligence and police systems and on the history of Russian treatment of captives, military and civilian, during and following World War II. The appendix contains some specific case summaries of physical torture by the secret police. Only a small part of the book deals with interrogation. Background reading.

118

SEGRET

- 36. U.S. Army, 7707 European Command Intelligence Center, Guide for Intelligence Interrogators of Eastern Cases, Secret, April 1958. This specialized study is of some marginal value for KUBARK interrogators dealing with Russians and other Slavs.
- 37. U. S. Army, The Army Intelligence School, Fort Holabird, Techniques of Interrogation, Instructors Folder I-6437/A, January 1956. This folder consists largely of an article, "Without Torture," by a German ex-interrogator, Hans Joachim Scharff. Both the preliminary discussion and the Scharff article (first published in Argosy, May 1950) are exclusively concerned with the interrogation of POW's. Although Scharff claims that the methods used by German Military Intelligence against captured U. S. Air Force personnel"... were almost irresistible," the basic technique consisted of impressing upon the prisoner the false conviction that his information was already known to the Germans in full detail. The success of this method depends upon circumstances that are usually lacking in the peacetime interrogation of a staff or agent member of a hostile intelligence service. The article merits reading, nevertheless, because it shows vividly the advantages that result from good planning and organization.
- 38. U.S. Army, Counterintelligence Corps, Fort Holabird, Interrogations, Restricted, 5 September 1952. Basic coverage of military interrogation. Among the subjects discussed are the interrogation of witnesses, suspects, POW's, and refugees, and the employment of interpreters and of the polygraph. Although this text does not concentrate upon the basic problems confronting KUBARK interrogators, it will repay reading.
- 39. U.S. Army, Counterintelligence Corps, Fort Holabird, Investigative Subjects Department, Interrogations, Restricted, 1 May 1950. This 70-page booklet on counterintelligence interrogation is basic, succinct, practical, and sound. Recommended for close reading.
- 40. U.S. Defector Reception Center, <u>Defector Reception</u>

  Center Procedures Manual, Secret, 1 January 1956. Almost wholly devoted to the administration and handling of defectors and refugees, the manual devotes only two generalized pages to interrogation. KUBARK personnel concerned with reception center processing should read it.

- 41. Wellman, Francis L., The Art of Cross-Examination, Garden City Publishing Co. (now Doubleday), New York, originally 1903, 4th edition, 1948. Most of this book is but indirectly related to the subject of this study; it is primarily concerned with tripping up witnesses and impressing juries. Chapter VIII, "Fallacies of Testimony," is worth reading, however, because some of its warnings are applicable.
- 42. Wexler, Donald, Jack Mendelson, Herbert Leiderman, and Philip Solomon, "Sensory Deprivation," A.M.A. Archives of Neurology and Psychiatry, 1958, 79, pp. 225-233. This article reports an experiment designed to test the results of eliminating most sensory stimuli and masking others. Paid volunteers spent periods from 1 hour and 38 minutes to 36 hours in a tank-respirator. The results included inability to concentrate effectively, daydreaming and fantasy, illusions, delusions, and hallucinations. The suitability of this procedure as a means of speeding up the effects of solitary confinement upon recalcitrant subjects has not been considered.

SEGRET

#### OTHER BIBLIOGRAPHIES

The following bibliographies on interrogation were noted during the preparation of this study.

- l. Brainwashing, A Guide to the Literature, prepared by the Society for the Investigation of Human Ecology, Inc., Forest Hills, New York, December 1960. A wide variety of materials is represented: scholarly and scientific reports, governmental and organizational reports, legal discussions, biographical accounts, fiction, journalism, and miscellaneous. The number of items in each category is, respectively, 139, 28, 7, 75, 10, 14, and 19, a total of 418. One or two sentence descriptions follow the titles. These are restricted to an indication of content and do not express value judgements. The first section contains a number of especially useful references.
- 2. Comprehensive Bibliography of Interrogation
  Techniques, Procedures, and Experiences, Air Intelligence
  Information Report, Unclassified, 10 June 1959. This
  bibliography of 158 items dating between 1915 and 1957
  comprises "the monographs on this subject available in the
  Library of Congress and arranged in alphabetical order by
  author, or in the absence of an author, by title." No
  descriptions are included, except for explanatory sub-titles.
  The monographs, in several languages, are not categorized.
  This collection is extremely heterogeneous. Most of the
  items are of scant or peripheral value to the interrogator.
- 3. Interrogation Methods and Techniques, KUPALM, L-3,024,941, July 1959, Secret/NOFORN. This bibliography of 114 items includes references to four categories: books and pamphlets, articles from periodicals, classified documents, and materials from classified periodicals. No descriptions

(except sub-titles) are included. The range is broad, so that a number of nearly-irrelevant titles are included (e.g., Employment psychology: the Interview, Interviewing in social research, and "Phrasing questions; the question of bias in interviewing", from Journal of Marketing).

4. Survey of the Literature on Interrogation Techniques, KUSODA, 1 March 1957, Confidential. Although now somewhat dated because of the significant work done since its publication, this bibliography remains the best of those listed. It groups its 114 items in four categories: Basic Recommended Reading, Recommended Reading, Reading of Limited or Marginal Value, and Reading of No Value. A brief description of each item is included. Although some element of subjectivity inevitably tinges these brief, critical appraisals, they are judicious; and they are also real time-savers for interrogators too busy to plough through the acres of print on the specialty.



XII.

#### INDEX

	A	Page
Absorbition enotting of		32
Abnormalities, spotting of		17
Agents		76
Alice in Wonderland technique		
All-Seeing Eye technique		67
Anxious, self-centered characte	er	24-25
Arrests		35, 85-86
Assessment, definition of		4
1	В	
Bi-level functioning of interroga	ator	48
Biographic data		62
Bona fides, definition of		4
	<b>c</b>	
Character wrecked by success,	the	26
Coercive interrogation		82-104
Conclusion of interrogation		see
3		Termination
Confession		38-41, 67, 84
Confinement		see also
		Deprivation of
		Sensory Stimuli
		86-87
Confrontation of augments		47
Confrontation of suspects Control, definition of		4
Conversion		51
Coordination of interrogations	3 6: 11: - 6	7
Counterintelligence interrogation	on, definition of	4-5
C ross-examination		58-59

# SEGRET

	D	Page
Debility		83, 92-93
Debriefing, definition of		5
Defectors		16, 29, 43,
		51, 63
Deprivation of sensory st	imuli	87-90
Detailed questioning		60-64
Detention of interrogatees	•	6-8, 49, 86-87
Directives governing inter		7
Documents of defectors		36
Double agent		17-18
Drugs		see
		Narcosis
Duress		see also
		Coercive
		Interrogation
		211002 2 0 6 4441011
	E	
Eliciting, definition of		5
Environment, manipulation	on of	45-46, 52-53
Escapees		16
Espionage Act		8
Exception, the, as psycho	ological type	27-28
	F	
Pakaisatana		10.10
Fabricators		18-19
False confessions First children		94
First children		29
	G	
	3	
Galvanic skin response an	nd the polygraph	80
Going Next Door technique	- , 0 -	66
Graphology		81
Greedy-demanding charac	cter	23-24

124

S E C R E T Approved for Release: 2014/02/25

## S E C/R E T

	Page
Guilt, feelings of Guilt-ridden character	39, 66, 83 25-26
H	
Heightened suggestibility and hypnosis	95-98
I	
Indicators of emotion, physical Indirect Assessment Program Informer techniques Intelligence interview, definition of Interpreters Interrogatees, emotional needs of Interrogation, definition of Interrogation, planning of Interrogation setting Interrogator, desirable characteristics of Interrogator's check list Isolation Ivan Is A Dope technique	54-56 30 67-68 5 74 5 42-44 45-47 10 105-109 29 72
J	
Joint Interrogations Joint interrogators, techniques suitable for Joint suspects Judging human nature, fallacies about	4, 43 47-48, 72-73 47, 70-72 12-13
K	
Khokhlov, Nikolai	9
L	•
Language considerations	74

125

SECRET

Approved for Release: 2014/02/25

### SEGRET

	Page
LCFLUTTER	43
Legal considerations affecting KUBARK CI	
interrogations	6-9
Listening post for interrogations	47
Local laws, importance of	6
M	
Magic room technique	77-78
Malingering, detection of	101-102
Matching of interrogation method to source	66
Mindszenty, Cardinal, interrogation of	31
Mutt and Jeff technique	72-73
N	
Narcosis	98-100
News from Home technique	68'
Nobody Loves You technique	67
Non-coercive interrogation	52-81
0	
ODENBY, coordination with	8
Only children	29
Opening the interrogation	53-59
Optimistic character	22-23
Orderly-obstinate character	21-22
Ordinal position	29
Organization of handbook, explanation of	3
Outer and inner office technique	71
P	
Pain	90, 93-95
Pauses, significance of	56
PBPRIME citizens, interrogation of	7-8

126 S E C/R E T

Approved for Release: 2014/02/25

	Page
Penetration agents	11, 18
Personality, categories of	19-28
Personalizing, avoidance of	12
Placebos	77-78
Planning the counterintelligence interrogation	7, 38-44
Police powers, KUBARK's lack of	6-7, 43-44
Policy considerations affecting KUBARK CI	
interrogations	6-9
Polygraph	79-81
Post-hypnotic suggestion	98
Probing	59-60
Provocateur	11, 17
Purpose of handbook	1-2
R	
K	
Rapport, establishment of	10-11, 56
Rationalization	41, 78, 85
Reconnaissance	59-60
R ecording of interrogations	46-47
Refugees	16
Regression	40-41, 76-78,
	96
R elationship, interrogator-interrogatee	40
Repatriates	15, 42-43
R eports of interrogation	61
Resistance of interrogatees	56-58
Resistance to interrogation	44-45
Respiration rate and the polygraph	80
S	
Schizoid character	26-27
Screening	13, 30-33
Separation of interrogatees	47
Silent drugs	97-99
Spinoza and Mortimer Snerd technique	75

127

S E C/R E T

	Page
Structure of the interrogation Swindlers Systolic blood pressure and the polygraph	53-65 18-19 80
т	
Techniques of non-coercive interrogation Termination of interrogation Theory of coercive interrogation Threats and fear Timing Transfer of interrogatee to host service Transferred sources Trauma Travelers	65-81 50, 63-65 82-84 90-92 49-50 50 16-17 66
W	
Walk-ins Witness techniques Wolf in Sheep's Clothing technique	34-36 68-70 75

128