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STATE 73834

JOINT STATE USIA/ACDA/DOD Message

1. This message sets forth guidance for US public posture, including public statements by U.S. officials, on certain matters relating to problem of nuclear weapons proliferation. It has grown out of detailed study by interested Washington agencies of Indian nuclear weapons policy, an effort designed to identify USG policies likely prove most effective in strengthening present Indian policy of developing its nuclear capabilities for peaceful purposes only. Although tailored specifically to Indian problem, we believe that at least some of principles enunciated below can be usefully employed in other areas where one or more states have developed significant capability in nuclear field. Addressees should consider this guidance in light of local situation and judge for themselves whether and how they may apply all or part of it in furtherance USG global interest in non-proliferation.

ACDA: Mr. Kahar NEA/INC: Mr. Coon: 38 3728 Islagraphic transmission and chartistics approved by NEAs Ambassador Hare ACDA/ST-Dr. Rochlin (draft)/RUR/RPM-Mr. Baker (draft)/ACDA/IR-Mr. Gleysteen (draft)/NEA/IAI-Mr. Sterner (draft)/INR/RNA-Mrs. Telt (draft)//NEA/PAF-Mr. Laingen (draft) NEA/INC-Mr. Hendley NEA/INC-Mr. Heck (draft) DOD/ISA-Dr. Halperin (draft) S/P-Mr. Gathright (draft) 41/ FE-Mr. USIA-Mr. O'Neill (draft) SCI-Mr. Sievering (draft) ARA/I G/PM-Mr. Garthoff (draft) EUR/SOW-Mr. Flenner (draft)2 AF-Mr. Haubeck (draft) 2

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- 2. BEGIN UNDERLINE Background: END UNDERLINE FYI At present a number of states are technically capable of producing and testing a nuclear explosive device (or weapon) within a decade, assuming a decision to proceed in such manner were made now. Several of them could accomplish this without outside assistance or in a considerably shorter time, e.g. Canada, FRG, India, Israel, Italy, Japan, and Sweden. End PYI

  3. BEGIN UNDERLINE Prestige factor: END UNDERLINE In India, and to some degree in other countries capable of initiating nuclear weapons program, one pressure for preliferation is assumption that a nuclear weapons program automatically endows a state with a special prestige or status and enhances its voice in internacional councils. As a general rule, therefore:
  - A. Although it will be difficult to deflect widesproad trend toward speaking in terms of QUOTE five nuclear powers, UNQUOTE.we should ourselves avoid this term and try to blunt this tendency. We should adopt negative attitude toward proposals based on assumption that the five countries which have tested nuclear weapons have in either some special interest or common/some quality of power, prestige, or capability not shared by others.
  - B. The phrase QUOTE civil nuclear power UKQUOTE should be used to describe a nation which, in contradistinction to QUOTE military

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obtential to employ advanced nuclear technology for development of nuclear weapons, but has deliberately decided against it. The phrase QUOTE nuclear nations UNQUOTE could be used when both civil and military nuclear powers are collectively referred to. Wherever possible, the phrase QUOTE five nuclear powers, UNQUOTE meaning the nuclear-military states (US-USSR-UK-France-ChiComs), should not be used. When referring to U.S. and Soviet Union in nuclear context, phrase QUOTE major nuclear powers UNQUOTE could be used.

- C. Our posture should reflect view that in declining to tread the military path, these civil nuclear powers have chosen intelligent and conorable course, and are entitled to respect for their restraint.

  Sepending on circumstances we may wish draw on following themes in interpreting reasons for such restraint:
- (1) Civil nuclear powers realize token weapons capability
  ould be dangerous, expensive, prone to obsolescense, and lacking in
  redibility as a deterrent; hence QUOTE prestige UNQUOTE therefrom
  ould be illusion.
- (2) They recognize relevance of economic strength to
  enuine prestige and political influence, and have rejected heavy
  arden of nuclear weapons program in favor of using economic resources\_

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for advancement of their people.

- (3) They believe in using the atom for peace, and in stopping spread of nuclear weapons. They recognize costs and limitations of small national nuclear systems and know such systems cannot represent wave of future in an increasingly interdependent world.
- (4) Many countries technically capable of producing and testing nuclear weapons have signed or accepted nuclear safeguards agreements, as well as the Test Ban Treaty. They intend to honor these commitments. These undertakings would inhibit several of these countries from actually developing, testing, and producing nuclear weapons (or even nuclear explosives for peaceful purposes, since these are indistinguishable from nuclear weapons).
- D. As appropriate our statements should reflect fact that many civil nuclear powers already have advanced nuclear industrial and scientific establishments, in some areas surpassing that of the Chinese; that there are significant peaceful benefits associated with atomic energy in the fields of power-generation, medical applications, desalinization, basic science, and so on; that these benefits can bear and are being--acquired by civil nuclear powers in an efficient, direct manner; and that pursuit of military nuclear program only diverts technical resources and money from projects which contribute directly

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to economic, social, and political advancement.

- 4. BEGIN UNDERLINE Evaluation of Chinese Communist Nuclear Threat:
  END UNDERLINE: At least two of present civil nuclear powers, India and
  Japan, see their own long-term security interests as being directly
  affected by evolution of Chinese Communist nuclear weapons capability,
  and future consideration of their nuclear weapons options will be
  influenced by their appraisal of this threat. Public indications of
  how we evaluate threat will influence their thinking. We should
  therefore avoid magnifying Chinese Communist threat; rather we should
  maintain reasoned and balanced attitude that is consistent with known
  facts and helps keep consideration of Chinese nuclear weapons program
  in perspective. In so doing following themes may prove useful:
- (a) U.S. continues its primacy in QUOTE strike-back UNQUOTE
  power, which is essence of nuclear effectiveness--that is, capacity
  to withstand nuclear attack and prevail over aggressor. U.S. has
  this power above all others because its nuclear deterrent force is
  either hidden under sea or fortified underground with steel and
  concrete. This is why Secretary McNamara was able to say in February,
  1966, that U.S. missile force alone (without bombers) could survive
  Soviet surprise attack with more than enough power to inflict
  unacceptable damage on both USSR and Communist China simultaneously.



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- (b) Despite their public statements expressing contempt for effects of nuclear war, the Chinese recognize that nuclear attack would be enormously destructive for China. Because they cannot publicately rely on deterrent value of Soviet nuclear power, Chinese have become even more concerned over possibility of US nuclear attack. Thus Chinese are strongly motivated to shape any military attack and political propaganda strategy in way that would reduce chance of US nuclear response, particularly by avoiding use of nuclear weapons themselves.
- (c) Chinese Communists are managing to undertake a nuclear weapons program only at great cost in terms of skilled manpower and resources and with adverse economic consequences.
- 5. BEGIN UNDERLINE Cost and Related Considerations: END UNDERLINE:
  Civil nuclear powers generally recognize that costs of effective
  nuclear weapons program would be heavy. In India's case this
  constitutes a major deterrent to undertaking such program. But
  Indian opinion leaders who are pressing for a bomb -- and many others
  who support present policy -- are generally ill-informed regarding
  details of heavy costs and complexities of serious nuclear weapons
  program. Thus there is some merit in seeking spread clearer understanding of cost factors. On other hand we believe it would be

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contrary our non-proliferation objective directly attempt efforts reach Indians on this subject on scale and in fashion which might persuade them we were engaged in QUOTE hard sell UNQUOTE campaign to scare them away from proliferation. That is, pride and resentment at foreign intervention could override substance of our argument and provoke reaction contrary to that intended. Same consideration may apply to some degree or other with other civil nuclear powers. Following broad guidelines are therefore suggested as background and with caveat that recipient posts should carefully weigh extent to which they can be directly employed by USG spokesmen or publications in light local sensitivities.

(a) Building and testing single nuclear device is only
beginning of nuclear weapons program. As Secretary McNamara has
said, QUOTE Relatively weak national nuclear forces with enemy
cities as their targets are not likely to be sufficient to perform
even the function of deterrence. If they are small, and perhaps
ground
vulnerable on the MENEN or in the air, or inaccurate, a major
antagonist can take a variety of measures to counter them. Indeed,
if a major antagonist came to believe there was a substantial
likelihood of it being used independently, this force would be
inviting a pre-emptive strike against it. UNQUOTE. Even small nuclear

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force would therefore require relatively sophisticated means of delivery and of protection against surprise attack, to be effective or provide deterrent.

- (b) Once decision to acquire nuclear military force has been taken, a nation embarks on rising scale of costs and risks. Developing small nuclear force is costly, difficult, and uncertain undertaking for variety of factors including the following:
- (1) Modern delivery systems tend to be far more expensive than nuclear weapons costs, and necessitate large-scale, time-consuming, and industrially-advanced effort.
- (2) In addition to investment costs of nuclear force program (including substantial foreign exchange element), operating, maintenance, and obsolescence costs must be borne.
- (3) Critical manpower and material dislocations as well as direct costs would be incurred.
- (4) Much of technology involved in developing military nuclear force is of no value for civilian applications and economic advancement.

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CANBERRA		**
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